## Distributed Beamforming for PHY Layer Secret Communication

### Xiaoran Fan\*, Zhijie Zhang\*, Wade Trappe\*, Yanyong Zhang\*, Rich Howard\*, and Zhu Han\*\*

\*Wireless Information Network Laboratory,

Rutgers University, USA

\*\*Department of Electrical and Computer Engineering,

University of Houston, USA



WINLAB | Wireless Information Network Laboratory





## **Secret Communication is Important**







## **Cryptography and PHY Layer Security**

### Cryptography

- At the cost of lowering data rate
- Computationally expensive

#### PHY layer security methods

- + Simple
- + Low overhead
- + Could Increase data rate
- + Could complement cryptography-based methods





## **Traditional Beamforming**



Same injection angle θ for all TXs



- A popular solution for PHY secret comm
- Clustered transmitters,
  - faraway receiver
- Plane wave for transmitters
- Optimization based beamformer: MRC, ZF





 Generating a high energy beam towards Bob
 Directionality

WINLAB

- Minimizing energy towards Eve
- Jamming Eve



## **Concerns in BF Secret Communication**

### □ Often assuming Eves' locations are known

Not practical

### □ High energy along the beam path

- □ Eve B can overhear everything
- □ Introducing noise to jam Eves



## **Overview of Secret-Focus**

### Distributed Transmitters

- **D** No communication among transmitters
- Distributed synchronization
- Transmitters are not clustered, but distributed around the receiver

### Distributed Phase Alignment (DPA) at the receiver

- Distributed Beamforming
- Received signals are constructively added up at Bob



**WINLAB** 



## **A Closer Look at Communication Bits**



Xiaoran Fan





## The lower are and higher abob the better system secrecy rate

Xiaoran Fan



## **Secret Focus Design Goals**

- □ The target location has the highest RSS, µ
- $\square$  The target location has the lowest RSS variation,  $\sigma$

Secret Communication Ratio(SCR):  $\alpha = \mu/\sigma$ 





## **Secret-Focus Mechanism I**

## Combing phases of distributed transmitters at Bob

Xiaoran Fan

# WINLAB **DPA through Feedback Control Loop**

### We choose a closed-loop feedback controlled phase alignment method

- □ Random phase searching at the TX end
- Feedback from the RX end Aligned feedback1 feedback2 TX1 TX2 . . . TX3 **initial** iteration iteration iteration 2 Ν

## **DPA through Feedback Control Loop**

# We choose a closed-loop feedback controlled phase alignment method

- □ Random phase searching at the TX end
- □ Feedback from the RX end



RSS

Xiaoran Fan

## **DPA through Feedback Control Loop**

# We choose a closed-loop feedback controlled phase alignment method

- □ Random phase searching at the TX end
- □ Feedback from the RX end



Xiaoran Fan

## **DPA through Feedback Control Loop**

# We choose a closed-loop feedback controlled phase alignment method

- □ Random phase searching at the TX end
- Feedback from the RX end



Xiaoran Fan

## **DPA through Feedback Control Loop**

### We choose a closed-loop feedback controlled phase alignment method

- □ Random phase searching at the TX end
- □ Feedback from the RX end



### iterations

Xiaoran Fan



## **Energy Density Distribution**



### Bob has the highest μ!

Xiaoran Fan



## A Spatial View of the 'Focused Energy'



Xiaoran Fan



## **Orbit Testbed**





## **Experimental Measurements**





**Received power distribution in BF** 



**Received power distribution in DPA** 

Xiaoran Fan



## **Secret-Focus Mechanism II**

# Dithering transmitters' phases around the alignment phases

Bob's location, small slope

Eve's location, large slope

Intuition: relative 'flat' at sinusoid signal's peak.

Xiaoran Fan



## **Phase Dithering**

## RSS derivative as a function of distance to Bob

$$G(d) = -2\int_0^{2\pi} \frac{\sin\left(2\pi \frac{\sqrt{R^2 + d^2 - 2Rd\cos\varphi} - R}{\lambda}\right)}{(R-d)\sqrt{R^2 + d^2 - 2Rd\cos\varphi}}d\varphi.$$



Xiaoran Fan



## **An Example Experiment**



Xiaoran Fan



### **BER with Eve at Extreme Locations**



Extreme location test is the Achilles' heel of current PHY layer security approaches



Xiaoran Fan



## **Secret Communication Ratio Measurement**

#### Measurement locations illustration





Bob has the highest  $\alpha$ , Eves at other locations have very low  $\alpha$ 

Xiaoran Fan



## **Secret-Focus Summary**

- □ A PHY layer secret communication approach
- No noise introduced
- Eves' locations are not necessary to be known
- Strong performance when Eve is placed at extreme locations

Thank you!

👼 grid.orbit-lab.org 



Multi-naste

Ð  $\times$ 

t-∰ □ × stype	d to all terminals (use Ctrl+Shift+Insert to paste)		L Mulu-	paste
phase dith	🚰 Top Block@node19-20	- 🗆 X	Top Block@node14-7	- 🗆 ×
Gouput_on_off	-on_off		on_off	
e 🔿 0 💿 1	0 0 0 1			
er dpa_on_off				
				Persistence
		Analog Alpha: 0.0994		Analog Alpha: 0.0994
phase_dith	0.18	Axes Options	0.022	Axes Options
0001	0.10	Secs/Div: + -	0.022	Secs/Div: +
ouput_on_off	0.16	Counts/Div: + -	0.02	Counts/Div: +
$\bigcirc 0 \odot 1$		Y Offset: + -		Y Offset: +
dpa_on_oπ	0.14	T Offset:	0.018	T Offset:
	2	Autorange	£	Autorange
	3 0.12 MMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMM	Channel Options	30.016	Channel Options
phase dith	0.1	Ch1 Tria	0.014	Ch1 Trig
ouput_on_off	0.08	Coupling: DC 😫	0.012	Coupling: DC
0001		coupingr		
dpa_on_off	0.06	Marker: Line Link	0.01 waterweekeessaan waterweeke	Marker: Line Link
0001			0.008	
	0.4 0.5 0.6 0.7 0.8 0.9 1 1.1		0.003 0.4 0.5 0.6 0.7 0.8 0.9 1 1.1	Chan
	Time (s)	Stop	Time (s)	Stop
	Using Volk machine: sse4 2-64 orc Input is 10.000000 ,rpl is 0.057653, random phase is 0.057653,Angel is 0	.057653	Using Volk machine: avx 64 mmx orc Input is -10.000000 ,rpl is 0.000000, random phase is -0.254011,Ang	el is -0.254011
	Disable this terminal from "MultExec" mode		Disable this terminal from "MultiExec" mode	
	Loading: "tx_node_ekf_2.grc"		A Loading: "tx pode ekf 2 grc"	
	Shawing, #/root/or houts/oxam) os ity nade akt 2 are#		>>> Done	
	Snowing. Thour granewice strand control in the strand st		Showing: "/root/gr-howto/examples/tx_node_ekf_2.grc"	
	Generating: "/root/gr-nowto/examples/top_block.py"		Generating: "/root/gr-howto/examples/top_block.py"	
	Executing: "/root/gr-howto/examples/top_block.py"		Executing: "/root/gr-howto/examples/top_block.py"	
	linux; GNU C++ version 4.8.4; Boost_105400; UHD_003.010.001.000-0-unknown		linux: GNU C++ version 4.8.4: Boost 105400: UHD 003.010.001.000-0-unknown	
	Opening a USRP2/N-Series device			Increase in the second s
	Current recv frame size: 14/2 bytes Current send frame size: 1472 bytes		opening a USRP2/N-series device Current recv frame size: 1472 bytes	
	1) catch time transition at pps edge		Current send frame size: 1472 bytes	
	Using Volk machine: avx_64_mmx_orc		2) set times next pps (synchronously)	
	Input is 10.0000000 ,rpl is 0.057653, random phase is 0.057653,Angel is 0.057653		Using Volk machine: avx_64_mmx_orc	
	Disable this terminal from "MultExec" mode		Dicable this terminal from "MultiEver" mode	
		<pre>https://www.interminities/comparison in the set of pasts in t</pre>	<pre>Fig C X Pypes to a remnals (ise CM*shift insert to paste)  phase_dth 0 0 0 1</pre>	Image: dimensional concentration of paces       Image: dimensional concentration of paces       Image: dimensional concentration of paces         Image: dimensional concentration of paces       Image: dimensional concentration of paces       Image: dimensional concentration of paces         Image: dimensional concentration of paces       Image: dimensional concentration of paces       Image: dimensional concentration of paces         Image: dimensional concentration of paces       Image: dimensional concentration of paces       Image: dimensional concentration of paces         Image: dimensional concentration of paces       Image: dimensional concentration of paces       Image: dimensional concentration of paces         Image: dimensional concentration of paces       Image: dimensional concentration of paces       Image: dimensional concentration of paces         Image: dimensional concentration of paces       Image: dimensional concentration of paces       Image: dimensional concentration of paces         Image: dimensional concentration of paces       Image: dimensional concentration of paces       Image: dimensional concentration of paces         Image: dimensional concentration of paces       Image: dimensional concentration of paces       Image: dimensional concentration of paces       Image: dimensional concentration of paces         Image: dimensional concentration of paces       Image: dimensional concentration of paces       Image: dimensional concentration of paces       Image: dimensional concentration of paces         I

Xiaoran Fan